

APPLICANT FACSIMILE OF FORM PTO-1446 REV 7-80		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.	SERIAL NO.
JC LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)				TTI-143CPCN2	09/994,425
		APPLICANT		Henry C. Chiou et al.	
		FILING DATE		GROUP	
		November 26, 2001		1632	

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Am2	A1	5,166,320	11/92	Wu et al.	530	395	
Am2	A2	5,346,696	09/94	Kim et al.	424	85.4	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
Am2	A3	WO 92/19749	11/92	PCT			
	A4	WO 92/22310	12/92	PCT			
	A5	WO 92/22635	12/92	PCT			
	A6	WO 93/07283	04/93	PCT			
	A7	WO 93/15609	08/93	PCT			
↓	A8	WO 94/06922	03/94	PCT			
Am2	A9	1 111 159	11/95	China			

## OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

Am2	A10	Abstract from the Second Annual Industry Congress on Hepatitis, entitled "Receptor-Mediated Delivery of Therapeutic Agents for Treatment of Chronic HBV Infections", Bartholomew, R.M. (circulated in January, 1996)
Am2	A11	Baron, S. and F. Dianzani (1994), "The interferons: A biological system with therapeutic potential in viral infections", <i>Antivir. Res.</i> <b>24</b> :97-110
Am2	A12	Baron, S. et al. (1991), "The Interferons: Mechanisms of Action and Clinical Applications," <i>JAMA</i> <b>266</b> :1375-1383
Am2	A13	Coll, et al., "In Vitro Targeting and Specific Transfection of Human Neuroblastoma Cells by chCE7 Antibody-Mediated Gene Transfer", <i>Gene Therapy</i> , Abstract provided by the PCT, Vol. 4 (2), pp. 156-161 (1997);

Am2	A15	Gwertz, D. et al. (1993), "Analysis of Interferon- $\alpha$ 2 Sequences in Human Genomic DNA," <i>Journal of Interferon Research</i> <b>13</b> :227-231
Am2	A16	Hodgson, C. (1995), "Advances in Vector Systems for Gene Therapy," <i>Exp. Opin. Ther. Patents</i> <b>5</b> :459-468
Am2	A17	Miller, N. and Vile, R. (1995), "Targeted Vectors for Gene Therapy," <i>FASEB J.</i> <b>9</b> :190-199
Am2	A18	Mulligan, R. (1993), "The Basic Science of Gene Therapy," <i>Science</i> <b>260</b> :926-930

Examiner *Anne-Marie Zalke* Date Considered *3/15/04*

\*EXAMINER: Initial if reference considered. whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

APPLICANT FACSIMILE OF FORM PTO-1449 REV 7-80		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.	SERIAL NO.
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		November 26, 2001	1632		

U.S. PATENT DOCUMENTS

EXAMINER PATENT & TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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## OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

Ama	B1	O'Malley, B. and Ledley, F. (1993), "Somatic Gene Therapy," <i>Arch Otolaryngol Head Neck Surg.</i> <b>119</b> :1100-1107
	B2	Orkin, S. and Motulsky, A. (1995), "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy,"
	B3	Perrillo et al., "A randomized, controlled trial of interferon alfa-2b alone and after prednisone, withdrawal for the treatment of chronic hepatitis B," <i>New England J. of Med.</i> , <b>323</b> :295-301 (1990)
	B4	Slocombe, P. et al. (1982), "High-level Expression of an Interferon $\alpha$ 2 Gene Cloned in Phage M13mp7 and Subsequent Purification with a Monoclonal Antibody," <i>Proc. Natl. Acad. Sci. USA</i> <b>79</b> :5455-5459
	B5	Streuli et al., "At least three human type alfa interferons; Structure of alfa2," <i>Science</i> , <b>209</b> :1343-1347 (1980)
	B6	Wu, C.H. et al. (1989), "Targeting Genes: Delivery and Persistent Expression of a Foreign Gene Driven by Mammalian Regulatory Elements <i>in Vivo</i> ," <i>J. Biol. Chem.</i> <b>264</b> :16985-16987
	B7	Wu, G.Y. and C.H. Wu (1988), "Receptor-mediated Gene Delivery and Expression <i>in Vivo</i> ," <i>The Journal of Biological Chemistry</i> <b>263</b> :14621-14624
	B8	Wu, G.Y. and C.H. Wu (1987), "Receptor-mediated <i>in Vitro</i> Gene Transformation by a Soluble DNA Carrier System," <i>J. Biol. Chem.</i> <b>262</b> :4429-4432
Ama	B9	Wu, G.Y. et al. (1991), "Receptor-mediated Gene Delivery <i>in Vivo</i> ," <i>The Journal of Biological Chemistry</i> <b>266</b> :14338-14342


Examiner Anne-Marie Falk Date Considered 3/15/04

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